# NATIONELL KONFERENS OM

6-7 september 2016, Uppsala



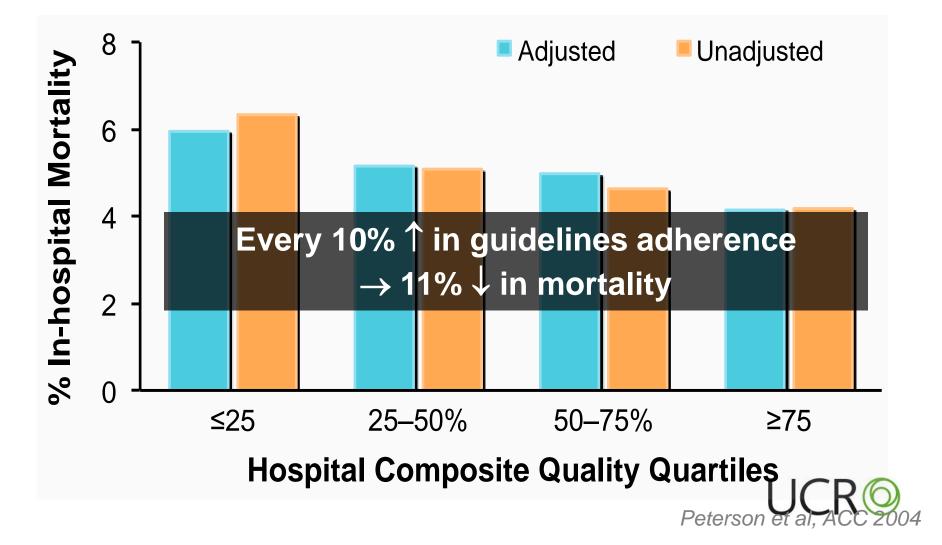
## Pragmatiska patientnära studier större, smartare och snabbare

#### **Stefan James**

Professor of Cardiology Uppsala Clinical Research Center Uppsala University Uppsala, Sweden

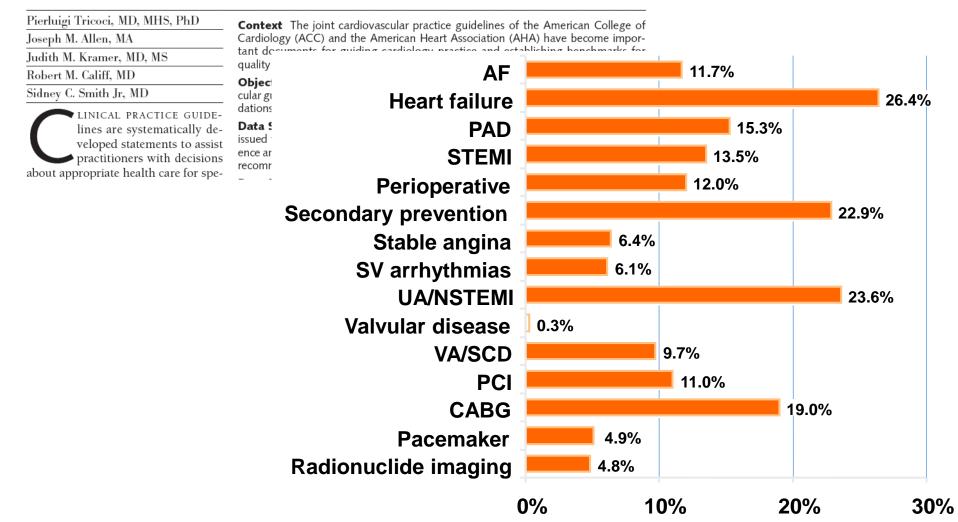


### Link Between Overall ACC/AHA Guidelines Adherence and Mortality



# Which Treatment is Best for Whom? High-Quality Evidence is Scarce < 15% of guideline recommendations supported by high quality evidence

#### Scientific Evidence Underlying the ACC/AHA Clinical Practice Guidelines



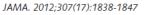
### Characteristics of Clinical Trials Registered in Clinical Trials.gov, 2007-2010

Robert M. Califf, MD	<b>Context</b> Recent reports highlight gaps between guidelines-based treatment recom-
Deborah A. Zarin, MD	mendations and evidence from clinical trials that supports those recommendations.
Judith M. Kramer, MD, MS	Strengthened reporting requirements for studies registered with ClinicalTrials.gov en- able a comprehensive evaluation of the national trials portfolio.
Rachel E. Sherman, MD, MPH	<b>Objective</b> To examine fundamental characteristics of interventional clinical trials reg-
Laura H. Aberle, BSPH	istered in the ClinicalTrials.gov database.
Asba Tasneem, PhD	<b>Methods</b> A data set comprising 96346 clinical studies from ClinicalTrials.gov was
LINICAL TRIALS ARE THE CEN-	downloaded on September 27, 2010, and entered into a relational database to ana- lyze aggregate data. Interventional trials were identified and analyses were focused

### **Conclusion** Clinical trials registered in ClinicalTrials.gov are dominated by small trials and contain significant heterogeneity in methodological approaches, including reported use of randomization, blinding, and DMCs.

Journal Editors (ICMJE) announced a policy, which took effect in 2005, of requiring registration of clinical trials as a prerequisite for publication.<sup>6,7</sup> The Food and Drug Administration Amendment Act (FDAAA)<sup>8</sup> expanded the mandate of randomization and blinding were less frequently reported in earlier-phase, oncology, and device trials.

**Conclusion** Clinical trials registered in ClinicalTrials.gov are dominated by small trials and contain significant heterogeneity in methodological approaches, including reported use of randomization, blinding, and DMCs.





www.jama.com

### **Cost of doing trials**

#### How Much They Cost: R&D Spending Per New Drug

		Com	pany	Number of new drugs	10 year R&D spending (\$MIL)	R&D per drug (\$MIL)	
	1	Abbo	ott	1	13183	13183	
	2	Sand	ofi	6	60768	10128	
	2	Actr	Zonoca	Л	282/15	9561	
'Cu	rrent	clini	ical trials are too	o slow, too ex	xpensive, not	reliable, 5	
	and not designed to answer the important guestions'						
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	Rob Califf, Commissioner for medical products &					cts &	
		tobacco FDA. "Applied clinical trials.					
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		Sche	ring-Plough 'There is a peo	•	x that exists in	n trial execu	
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	10 11 12	Sche Nov Take Mer	ring-Plough 'There is a peo perform clinica outcomes; hov medicine'	l trials to ger vever, we col	x that exists in nerate evidend	n trial execu ce to improv trials like an	re patient ecdotal

UC

## It takes <u>A LOT</u> of work



- 9 Data Safety Monitoring Board Reviews
- 33 Investigator Meetings
- 14,709 CEC events sent for adjudication
- 15,000+ SAEs processed
- 30,000+ Monitoring visits
- 300,000 Patient visits completed
- 2.7 Million CRF data forms completed



## **Big Cost Drivers in Traditional Clinical Trials**

- Data collection size of case report form
- Site monitoring % source document verification
- Number of study-specific procedures and tests
- Number of study-specific contacts and visits
- Volume and complexity of safety reporting requirements
- Investigational drug storage and accountability
- Total trial timeline!!!!!



### **Current State of Clinical Trials**

VIEWPOINT

### Transforming Clinical Trials in Cardiovascular Disease Mission Critical for Health and Economic Well-being

Elliott M. Antman, MD	
Robert A. Harrington, MD	

Perhaps the most exciting opportunity for CVD researchers is to capitalize on the advances in systems and computational biology that can inform first-in-human, proof-of-

"As large trials became popular...the original simplicity was lost...leading to increasingly complex trials. The unintended consequence has been to threaten the very existence of RCTs, given the operational complexities and ensuring costs. An ideal opportunity would be to embed randomization in the EMR... introducing randomization into registries sponsored by societies."

-Antman E, Harrington RA. JAMA 2012;338:1743-4.

Califf: Leveraging Real World Evidence is 'Top Programmatic Priority' for FDA Posted 11 May 2016

By Michael Mezher

"Unfortunately, too many of the decisions made today about health and healthcare are not supported by high quality evidence,"

Food and Drug Law Institute's annual conference last week.

While Califf said his first priority as commissioner is to strengthen FDA's workforce, that stronger workforce will be critical to achieving FDA's goals in specific program areas such as real world evidence.



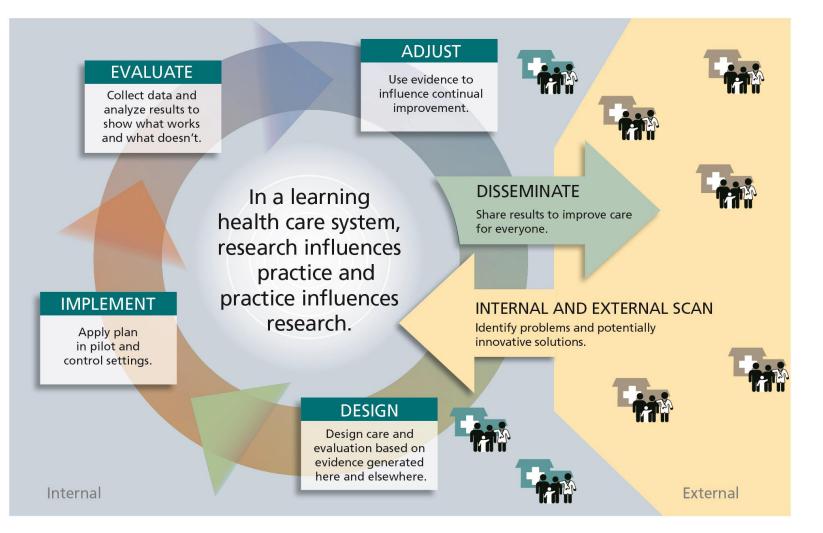
"Prospectively designed registries and cohort studies in the context of clinical practice are highly valuable, and randomized trials conducted in the context of clinical practice, often called a pragmatic clinical trial may be the most important source of knowledge in the future,"

FDA-led initiatives such as Sentinel and unique device identifier (UDI) adoption, he added.

cont developments in electronic nearth records, patient registrics and



### Learning health care systems





## Summary

- Enormous gap between evidence and need for evidence
- Costs are skyrocketing
- Technical development growing
- Digitalized health records, clinical registries
- A new path is needed- to facilitate better, faster, easier, and more cost effective clinical research



## **General Classification**

### Explanatory or mechanistic trials

 aimed at impact of a treatment on biological or mechanistic measures

#### • Pragmatic or evaluative trials

 aimed at impact of a treatment on what matters to patients and their care providers (living longer, feeling better, avoiding unpleasant experiences, spending less money) and to inform decision makers about health and healthcare



Robert Harrington, Stanford 2015

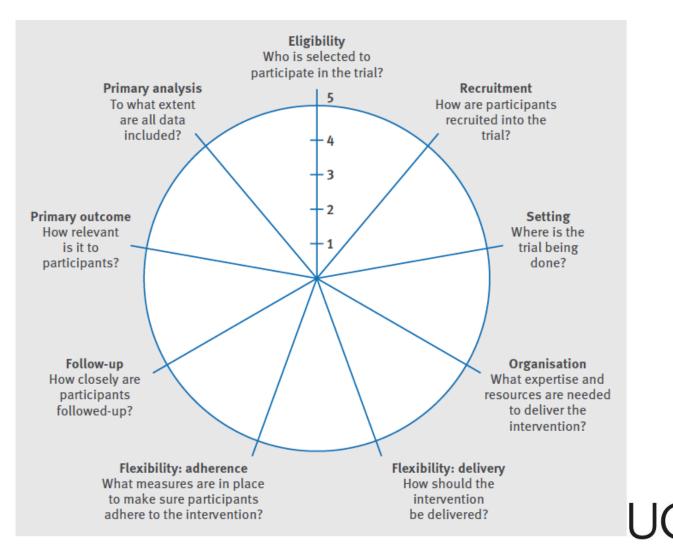
## **Elements of PCTs**

	Traditional Clinical trial	Pragmatic Clinical trial
Research question	Is the treatment effective under ideal circumstances	Is the treatment effective in clinical reality
Patient selection	Narrow	Broad, representative
Goal	Deeper scientific understanding	Treatment choice
Endpoints	Surrogate, mechanistic	Clinically important



### The PRECIS-2 tool: designing trials that are fit for purpose

## Kirsty Loudon,<sup>1</sup> Shaun Treweek,<sup>1</sup> Frank Sullivan,<sup>2</sup> Peter Donnan,<sup>3</sup> Kevin E Thorpe,<sup>4</sup> Merrick Zwarenstein<sup>5</sup>



## Definition for pragmatic clinical trial-R. Califf, FDA (2015)

(1) an intent to inform decision-makers (patients, clinicians, administrators, and policymakers), as opposed to elucidating a biological or social mechanism;

(2) an intent to enroll a population relevant to the decision in practice and representative of the patients/populations and clinical settings for whom the decision is relevant; and

(3) either an intent to

- (a) streamline procedures and data collection so that the trial can focus on adequate power for informing the clinical and policy decisions targeted by the trial
- (b) measure a broad range of outcomes

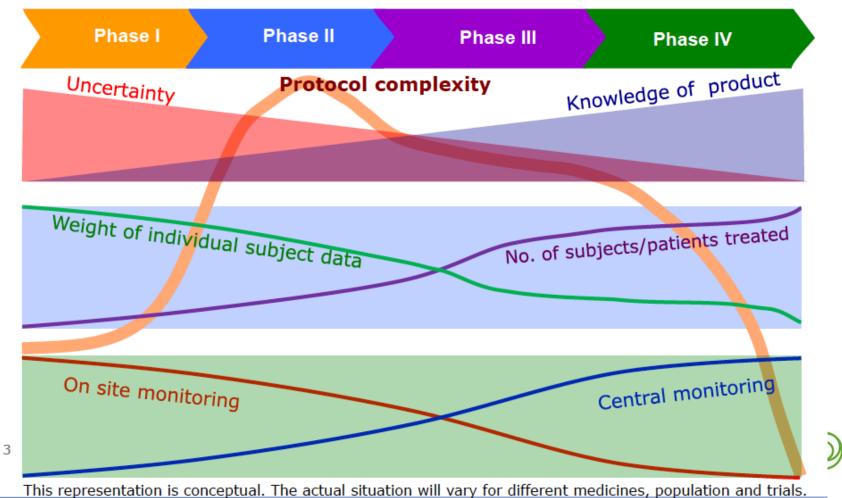


## **Study design**

#### Clinical trial – product lifecycle

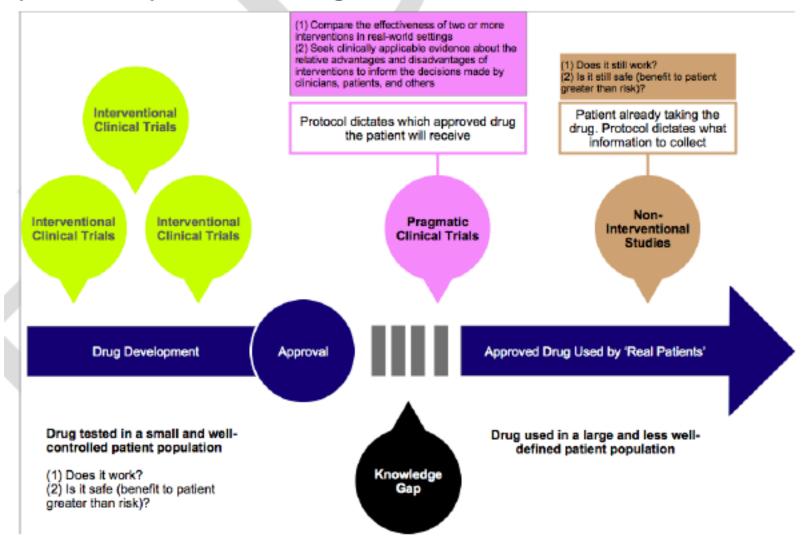


Clinical trial conduct including monitoring and data collection need to be proportionate to the knowledge of the product, protocol complexity and the risks involved to study participants and robustness of data



#### Figure 1 – The Continuum of Product Development and Evidence Generation

This figure illustrates where Pragmatic Clinical Trials (PCTs) fit in the continuum of product development and evidence generation.



## Pragmatic Clinical Trial-Califf FDA

Fit for the purpose of informing decision-makers regarding the comparative balance of benefit and risk of a biomedical or behavioral health intervention at the individual or population level

We should be striving for pragmatism in every clinical trial

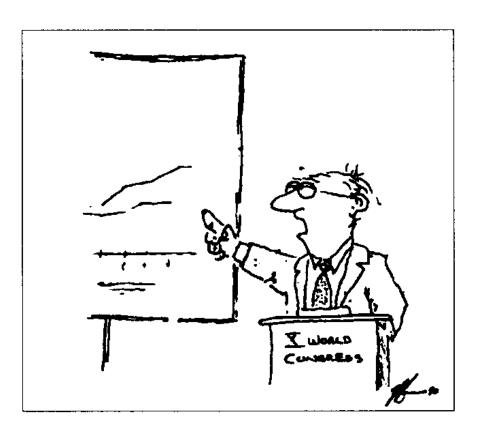




Usual Clinical Trial after Well planned and Regulatory/FDA/Academic Interaction Conducted pragmatic trial

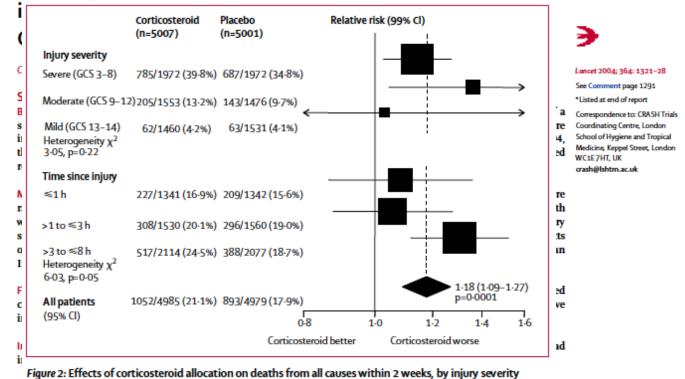
Poorly planned pragmatic trial





"This randomized, double-blind trial involving over 20,000 patients was conducted over a 10 year period. Unfortunately we've forgotten wby."

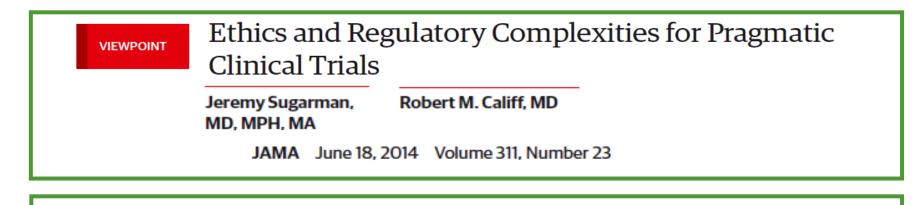
#### Effect of intravenous corticosteroids on death within 14 days



(based on GCS at randomisation) and time since injury

- No patient consent- only written information
- Simple randomization
- One single-sided outcome form, completed from hospital notes
- Only collection of outcomes though public registries and mailed forms to patientsno extra tests



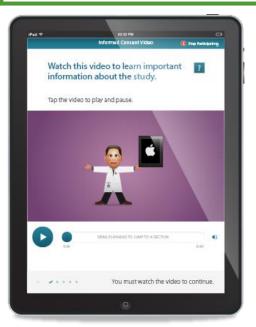


HEALTH LAW, ETHICS, AND HUMAN RIGHTS

#### Informed Consent for Pragmatic Trials — The Integrated Consent Model

Scott Y.H. Kim, M.D., Ph.D., and Franklin G. Miller, Ph.D.

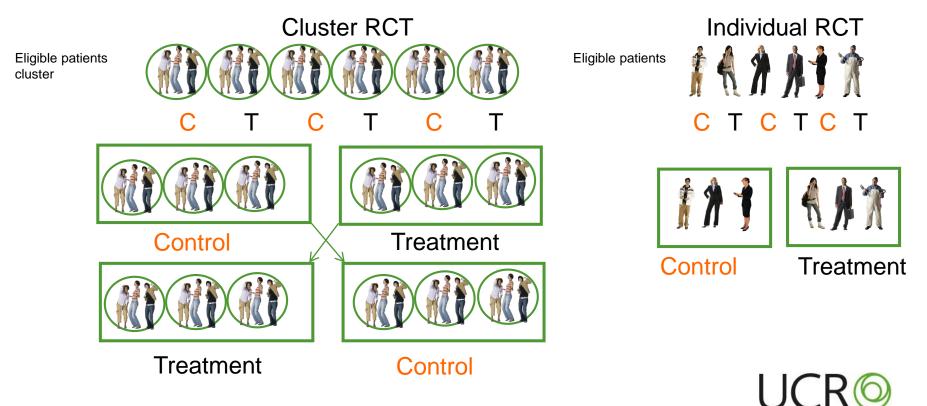
N ENGLJ MED 370;8 NEJM.ORG FEBRUARY 20, 2014





## **Cluster randomized trial (CRT)**

- A cluster randomized controlled trial is a type of trial in which groups of subjects (as opposed to individual subjects) are randomized.
  - different communities, clinics, or cities to either get or not get a particular intervention



SPECIAL ARTICLE

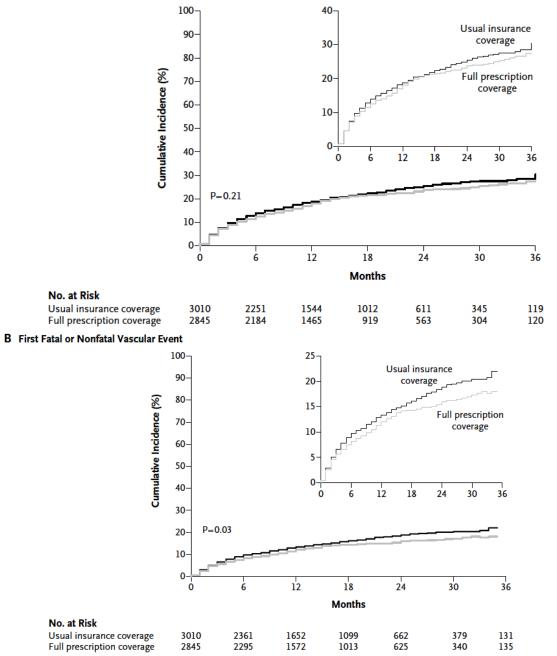
#### Full Coverage for Preventive Medications after Myocardial Infarction

Niteesh K. Choudhry, M.D., Ph.D., Jerry Avorn, M.D., Robert J. Glynn, Sc.D., Ph.D., Elliott M. Antman, M.D., Sebastian Schneeweiss, M.D., Sc.D., Michele Toscano, M.S., Lonny Reisman, M.D., Joaquim Fernandes, M.S., Claire Spettell, Ph.D., Joy L. Lee, M.S., Raisa Levin, M.S., Troyen Brennan, M.D., J.D., M.P.H., and William H. Shrank, M.D., M.S.H.S., for the Post-Myocardial Infarction Free Rx Event and Economic Evaluation (MI FREEE) Trial

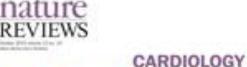
- Randomized policy experiment designed to evaluate the comparative effectiveness of two insurance benefit designs
- Potentially eligible patients were identified using administrative discharge claims submitted by hospitals to the insurance company
- Assignment occurred by cluster randomization at the level of the plan sponsor (employer)
- No individual consent
- Outcomes assessed by applying validated diagnostic algorithms to the insurance company health care utilization databases.



#### A First Fatal or Nonfatal Vascular Event or Revascularization









# PERSPECTIVES

#### OPINION

# Registry-based randomized clinical trials—a new clinical trial paradigm

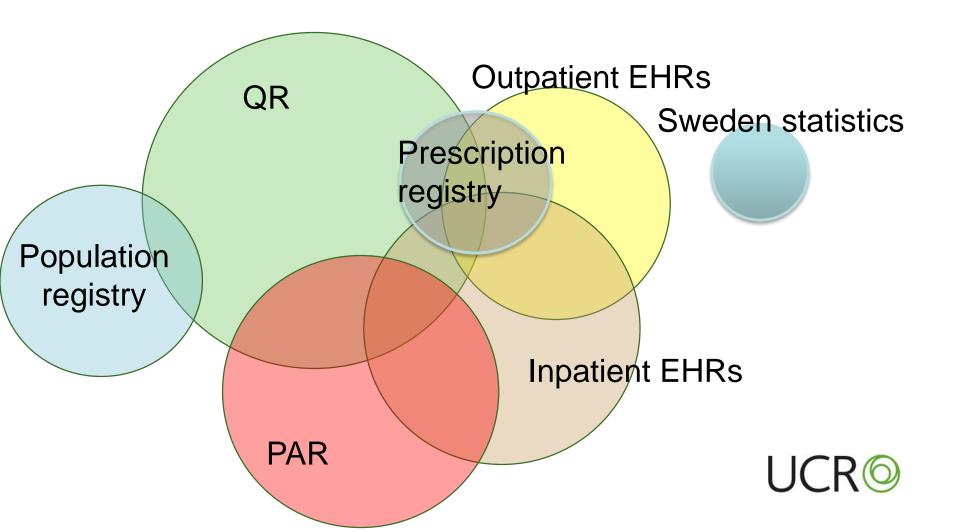
#### Stefan James, Sunil V. Rao and Christopher B. Granger

Abstract | Randomized clinical trials provide the foundation of clinical evidence to guide physicians in their selection of treatment options. Importantly, randomization is the only reliable method to control for confounding factors when comparing treatment groups. However, randomized trials have limitations, including the increasingly prohibitive costs of conducting adequately powered studies. Local and national regulatory requirements, delays in approval, and unnecessary trial processes have led to increased costs and decreased efficiency. Another limitation is that clinical trials involve selected patients who are treated according to protocols that might not represent real-world practice. A possible solution is registry-based randomized clinical trials. By including a randomization module in a large inclusive clinical registry with unselected consecutive enrolment, the advantages of a prospective randomized trial can be combined with the strengths of a large-scale all-comers clinical registry. We believe that prospective registry-based randomized clinical trials are a powerful tool for conducting studies efficiently and cost-effectively.

James, S. et al. Nat. Rev. Cardiol. 12, 312–316 (2015); published online 17 March 2015; doi:10.1038/nrcadio.2015.33



# Data bases for baseline characteristics and outcomes in Sweden



### **Register based Randomized Clinical trials- R-RCT**

Prosective randomized trial that uses a clinical registry for one or several major functions for trial conduct and outcomes reporting.



## What can a registry do?

Some or all parts of trial

- Identify patients
- Randomize
- Collect baseline and procedure characteristics (CRF)
- Assist with and collect consent forms
- Identify clinical endpoints (endpoint detection)
- Control clinical outcome events (adjudication, CEC)



SWEDEHEART - Windows Internet Explorer	Two questions need to be
	Convertera answered:
	aste aktivitet P Medidata RA Did the patient consent orally? Are inclusion and no exclusion criteria met?
TASTE         Did the patient consent?         Are inclusion and exclusion crieteria met?	Vill patient vara med i Taste-studien         *         Munligt samtycke har inhämtats efter följande information och fråga:         Randomisera & Spara         Du har drabbats av en akut
PCI Operatör	Spara hjärtinfarkt. Det innebär att det finns en blodpropp som har stoppat blodflödet i ett av dina kranskärl. Tidigare undersökningar har visat att blodflödet återhämtar sig snabbare om man suger ut en del av blodproppen med en liten sundarsten. Nö livet deteinter
Segment	sugkateter. Vi vet dock inte proppsugning minskar dödligheten efter hjärtinfarkt eller
Graft	Iminskar risken för ny         0 Nej         Vi gör därför en vetenskaplig
Nummer på stenos i samma segment Ocklusion	av patienterna får proppsugning innan vanlig
Stenostyp Stenosklass	Image: Second
Procedurtyp Lokal framgång	✓       ™       register. Studien innebär inga extra provtagningar eller besök.
Återställ segmentformulär	Spara/Lägg till segment Vi undrar om du accepterar att deltaga i denna studie. Om du

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Are inclusion and exclusion crieteria met?	*		efter följande information och fråga:	
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		Spara	hjärtinfarkt. Det innebär att det finns en blodpropp som har	
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Cosmont			sugkateter. Vi vet dock inte proppsugning minskar	
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Segmentnummer Graft	0 Nej 🔽	¥	minskar risken för ny hjärtinfarkt eller för hjärtsvikt.	
Nummer på stenos i samma segment	1 Första 🗸		Vi gör därför en vetenskaplig studie som innebär att hälften	
Ocklusion			av patienterna får proppsugning innan vanlig	
Stenostyp		<u> </u>	ballongvidging sker och hälften av patienterna får sedvanlig	
Stenosklass			ballongvidgning. Sedan följer vi resultaten av	
Procedurtyp			behanlingen via våra hjärt-kärl register. Studien innebär inga	
Lokal framgång			extra provtagningar eller besök	
Áterställ segmentformulär		Spara/Lägg till segment	Vi undrar om du accepterar att deltaga i denna studie. Om du	
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TASTE	Vill patient vara med i Taste-studien	
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Are inclusion and exclusion crieteria met?	fråga:	
	Randomisera & Spara Du har drabbats av en akut hjärtinfarkt. Det innebär att det	
	Spara Spara stoppat blodflödet i ett av dina	
Det	kranskärl. Tidigare undersökningar har visat att	
PCI	blodflödet återhämtar sig	
Operatör	* snabbare om man suger ut en del av blodproppen med en liten sugkateter. Vi vet dock inte	
Segment	proppsugning minskar dödligheten efter hjärtinfarkt	
Segmentnummer	eller minskar risken för ny	
Graft	0 Nej  Vi gör därför en vetenskaplig	
Nummer på stenos i samma segment	1 Första  studie som innebär att hälften av patienterna får proppsugning	
Ocklusion	innan vanlig ballongvidging sker och hälften	
Stenostyp	av patienterna får sedvanlig ballongvidgning. Sedan	
Stenosklass	följer vi resultaten av behanlingen via våra hjärt-kärl	
Procedurtyp	register. Studien innebär inga extra provtagningar eller besök.	
Lokal framgång		
Återställ segmentformulär	Spara/Lägg till segment Vi undrar om du accepterar att deltaga i denna studie. Om du	
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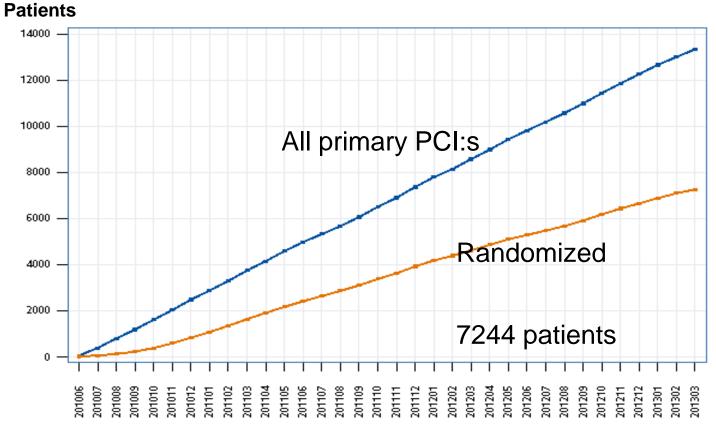
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## **TASTE** inclusion rate

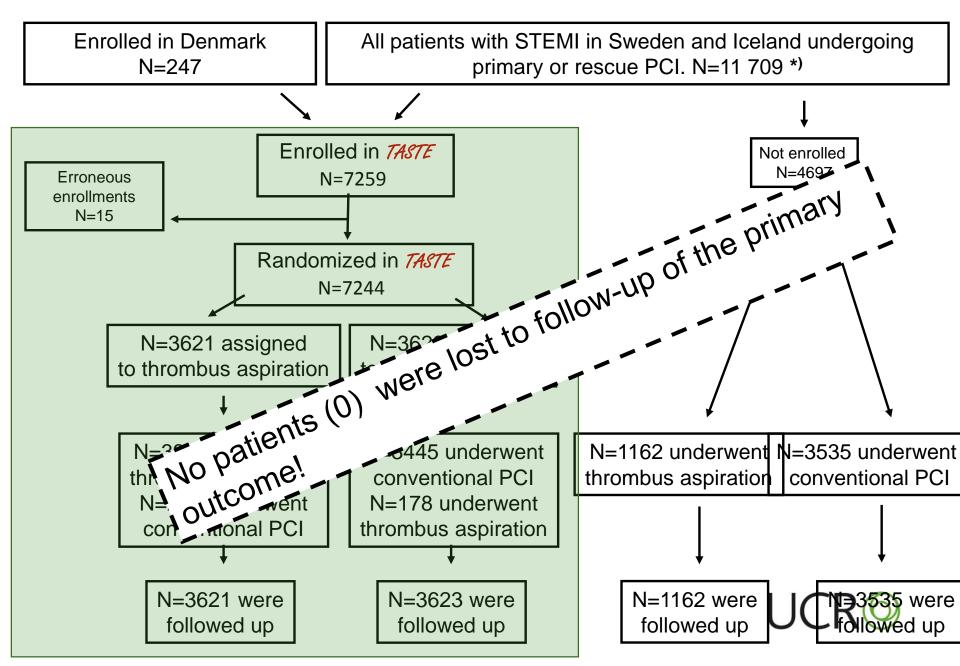




Date

### **TASTE trial enrollment flow chart**

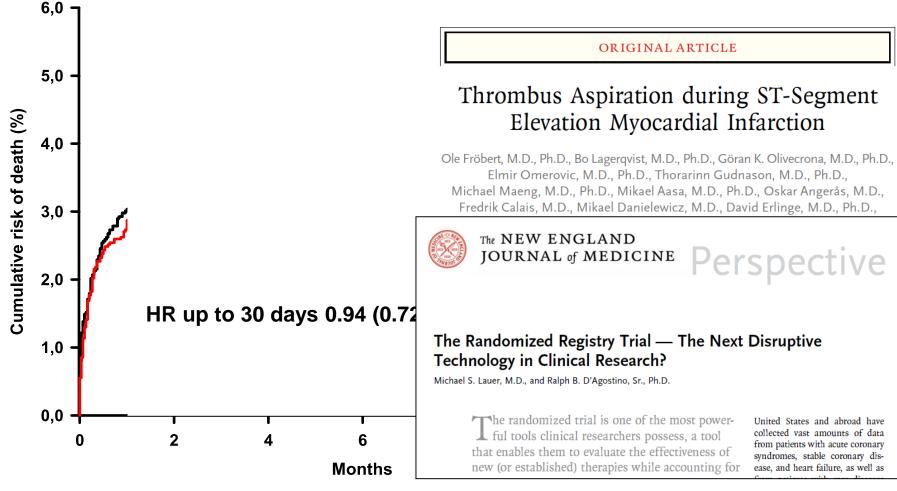
TASTE



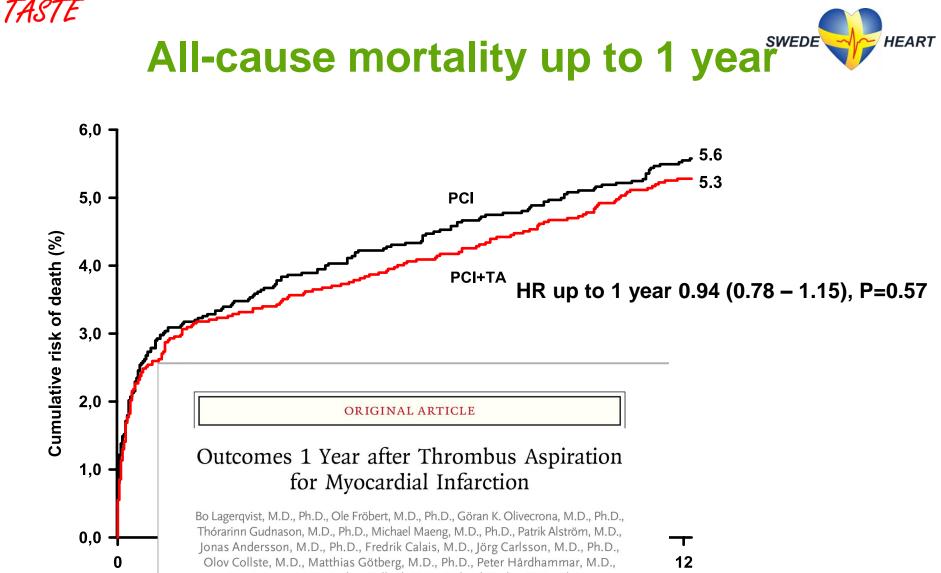


All-cause mortality up to 1 year we the ART

The NEW ENGLAND JOURNAL of MEDICINE







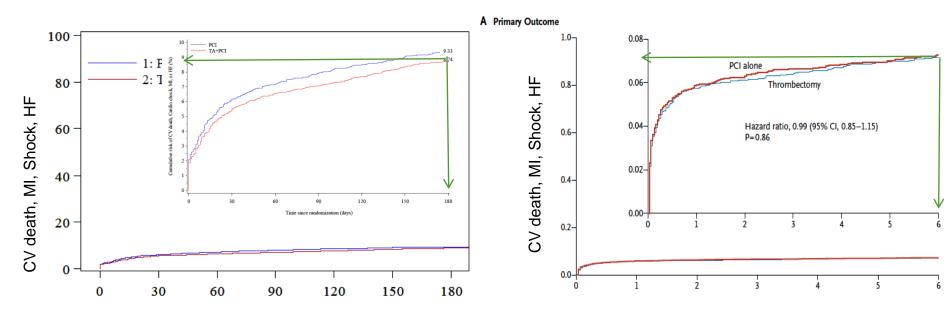
onas Andersson, M.D., Ph.D., Fredrik Calais, M.D., Jörg Carlsson, M.D., Ph.D Olov Collste, M.D., Matthias Götberg, M.D., Ph.D., Peter Hårdhammar, M.D. Dan Ioanes, M.D., Anders Kallryd, M.D., Rickard Linder, M.D., Ph.D., Anders Lundin, M.D., Jacob Odenstedt, M.D., Elmir Omerovic, M.D., Ph.D., Verner Puskar, M.D., Tim Tödt, M.D., Ph.D., Eva Zelleroth, M.D., Ollie Östlund, Ph.D., and Stefan K. James, M.D., Ph.D.

**UCR** 

#### Same composite clinical endpoint at 180 days

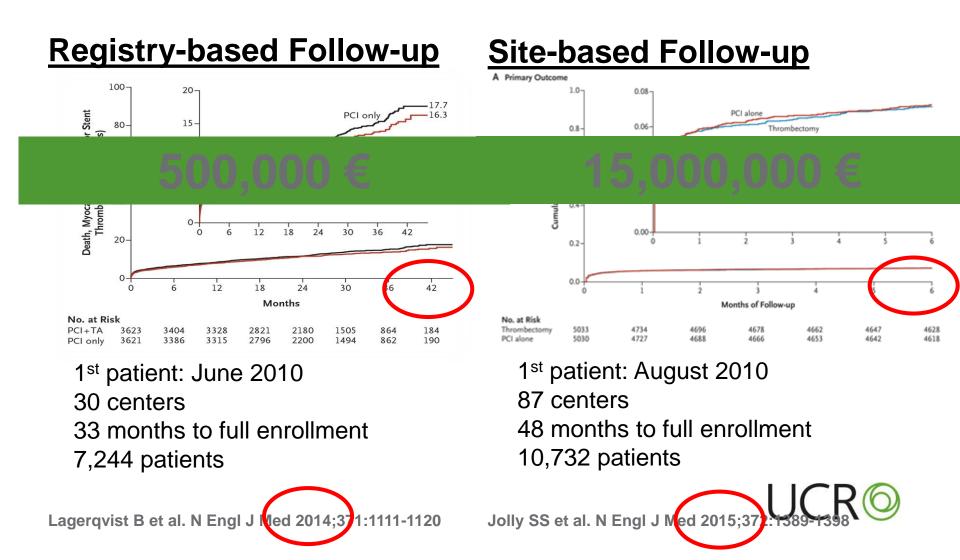
#### **Registry-based Follow-up**

#### Site-based Follow-up

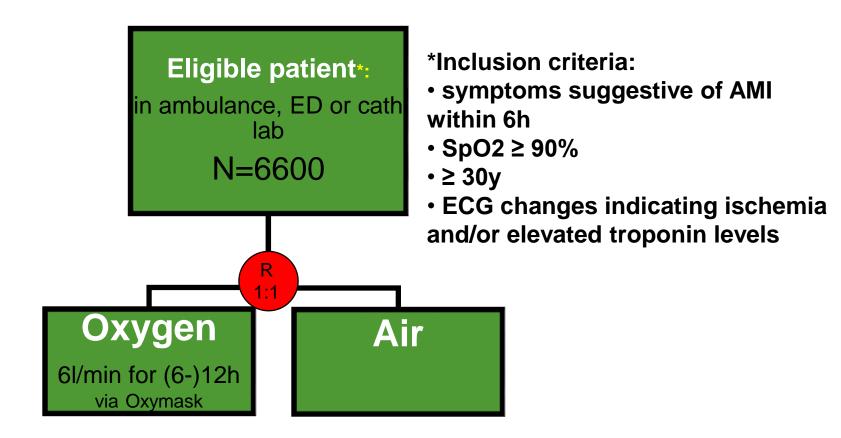


Jolly SS et al. N Engl J Med 2015;372:1389-1398

## Claims-based Patient Follow-up STEMI Thrombectomy Story







#### **Primary Endpoint: 1-year total mortality**

Additional secondary endpoint and sub studies

Data analysis through SWEDEHEART registry and national mortality registry

Funding: Swedish Research council (VR)

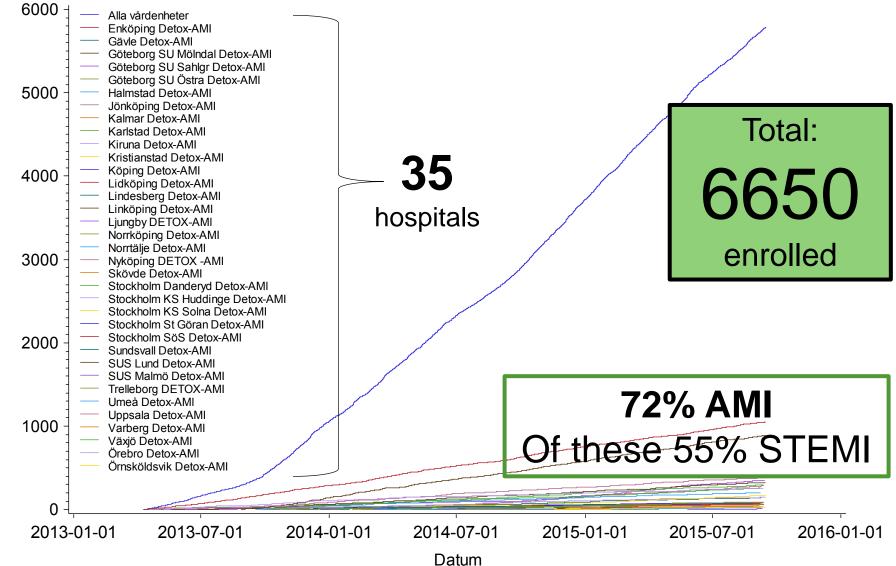
## Randomization in ambulance, ED, cath lab or CCU

Personnummer	19770414-1402	
Ankomsttid	2013-01-14 <b>*</b> KI. 09:	17 *
Inklusionskriterier		
Symptom (DBS/dyspné) vid AMI	1 Ja	*
EKG-kriterier	0 Nej	*
Troponinförhöjning	1 Ja	*
Syremättnad	96 *	
Exklusionskriterier		
Ovilja att deltaga	0 Nej	*
Oförmåga att förstå information	0 Nej	*
Pågående långtidsbeh. med syrgas	0 Nej	*
Hjärtstopp innnan randomiseringen	0 Nej	*



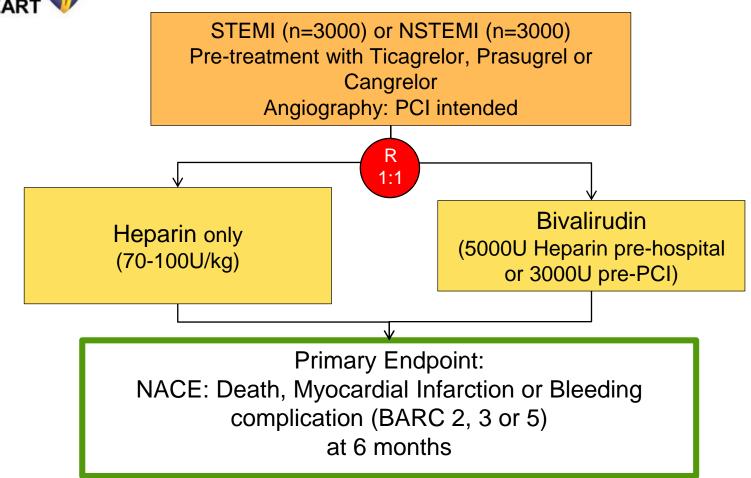


Inkluderade patienter



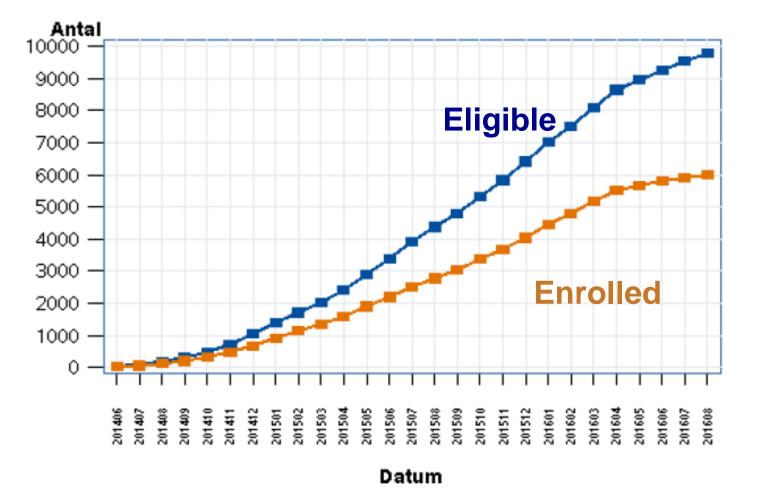


## VALIDATE (R-RCT)



- Hybrid R-RCT: Register data, register randomisation combined with phone call endpoint follow up and CEC
- Funding: Heart-lung foundation. Astra Zeneca, The Medicines company.
- Total cost: <2 million dollar

# Included NSTEMI/STEMI in relation to possible eligible patients in Sweden



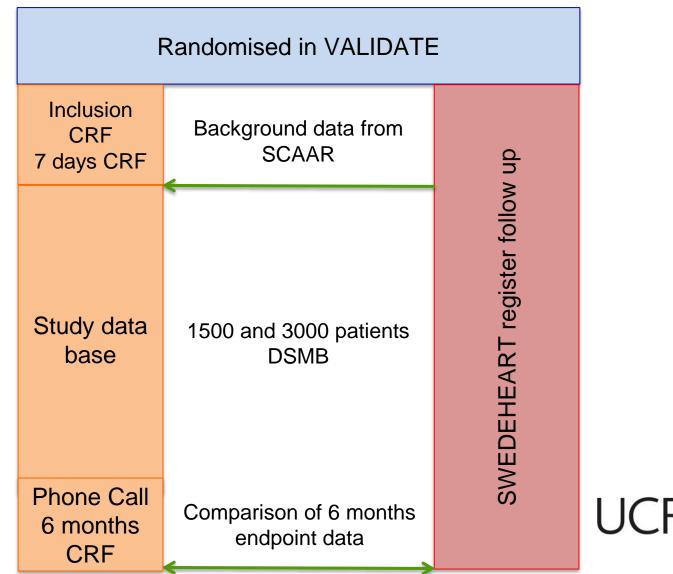
>60% of all eligible patients in a whole country is enrolled





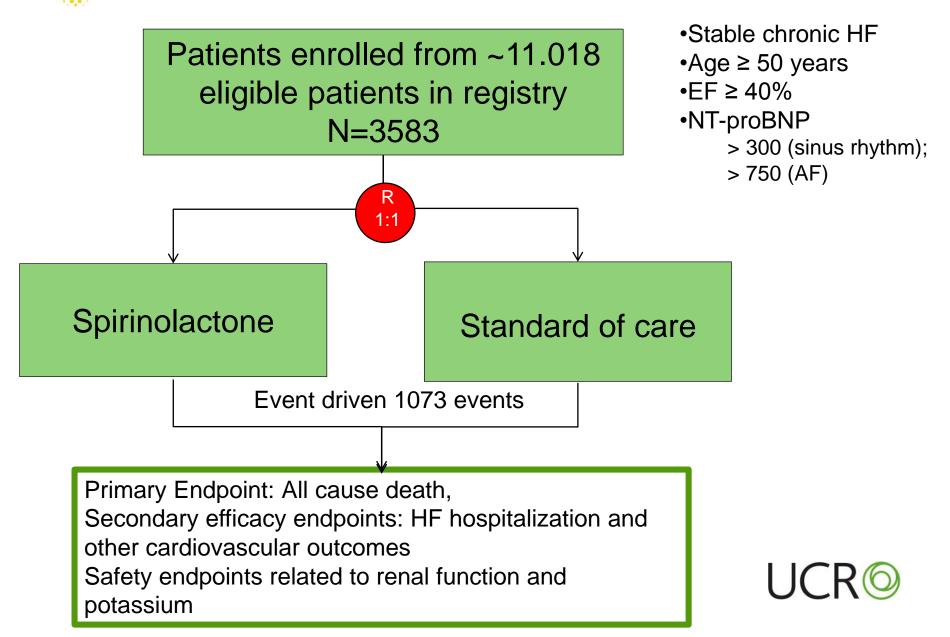
## **VALIDATE R-RCT**

A substudy to prove the validity of pharmaceutical R-RCT, by comparing a Hybrid R-RCT (phone follow up, CEC) with a pure R-RCT

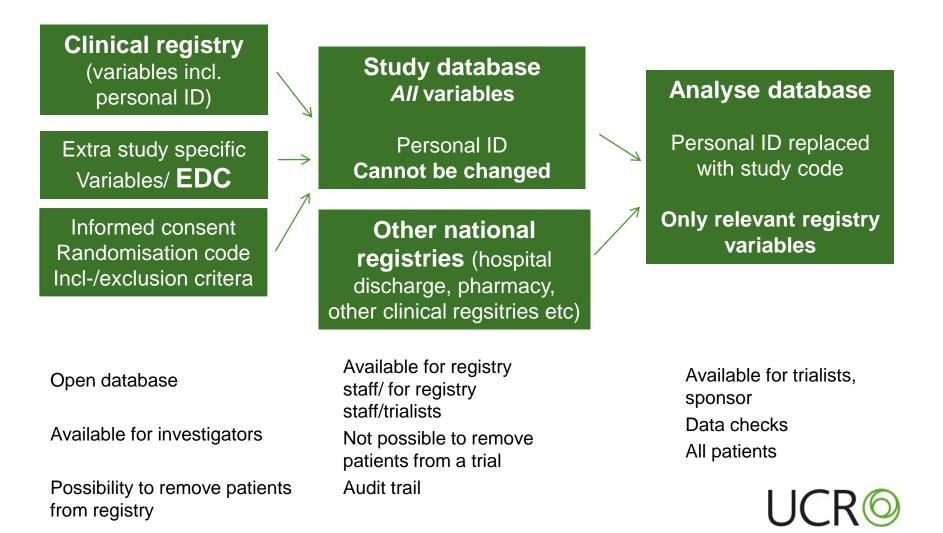


#### RiksSvikt nationellt hjärtsviktsregister

## **SPIRRIT-HFPEF**



## Data base



#### The future of cardiovascular clinical research in Income CrossMark North America and beyond—addressing challenges and leveraging opportunities through unique academic and grassroots collaborations

Matthew T. Roe, MD, MHS, <sup>a</sup> Kenneth W. Mahaffey, MD, <sup>b</sup> Justin A. Ezekowitz, MBBCh, MSc, <sup>c</sup> John H. Alexander, MD, MHS, <sup>a</sup> Shaun G. Goodman, MD, MSc, <sup>c,d</sup> Adrian Hernandez, MD, MHS, <sup>a</sup> Tracy Temple, BScN, RN, <sup>c</sup> Lisa Berdan, PA, MHS, <sup>a</sup> Robert M. Califf, MD, <sup>c</sup> Robert A. Harrington, MD, <sup>b</sup> Eric D. Peterson, MD, MPH, <sup>a</sup> and Paul W. Armstrong, MD<sup>c</sup> Durbam, NC; Stanford, CA; Alberta, and Ontario, Canada

#### Threats

- Limited pool of experienced investigators
- Increased site costs and complexities of trial participation
- Increasing enrollment competition from developing countries
- Strong concerns about patient privacy issues
- Increasing regulatory burden for site investigators

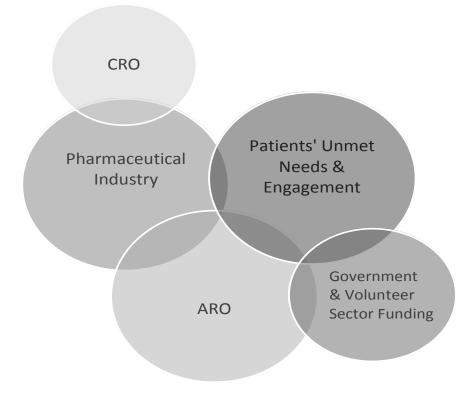
- Expansion of clinical and site-based research training programs
- Building strong and durable site networks
- Supporting more academic recognition of site-based researchers
- Supporting regulatory reforms such as quality by design
- Registry-based trials
- Leveraging large health systems and Electronic Health Records (EHRs) for pragmatic trials

Opportunities

- Simplifying trial participation
- Incentivizing investigators by incorporating trial participation into the cardiovascular board recertification process
- Advocating for new ethics and regulatory policies
- Increasing dialogue with patient-disease advocacy groups to support the value of research
- Leveraging academic-regulatory relationships to streamline safety reporting requirements and secure upfront commitments for approval pathways for pragmatic pivotal trials



## **Paradigm for Collaboration**



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Roe MT et al. Am Heart J; 2015

## PCORnet: Integrated Research Network in the U.S.

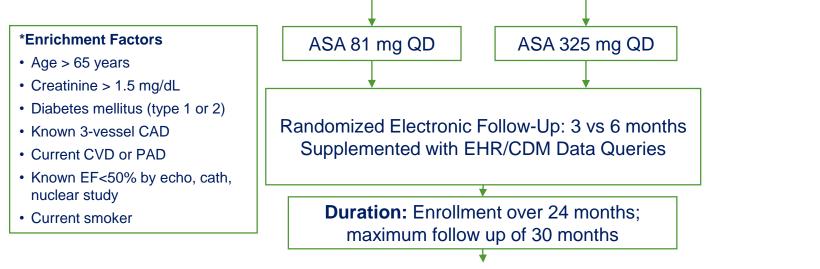
- 1. Highly **engaged** patients, clinicians, health systems, researchers and other partners
- 2. A **collaborative community** supported by robust governance
- 3. Analysis-ready **standardized data** with strong privacy protections
- 4. Oversight that **protects patients**, supports the timely conduct of research, and builds trust in the research enterprise
- 5. Research that is **sustainably integrated** into care settings and with communities of patients



#### ADAPTABLE Study Design Patients with known ASCVD + ≥1 "Enrichment Factor"

Identified through EHR screening and electronic patient contact by CDRNs/PPRNs (PPRN patients would need to connect through a CDRN to participate)

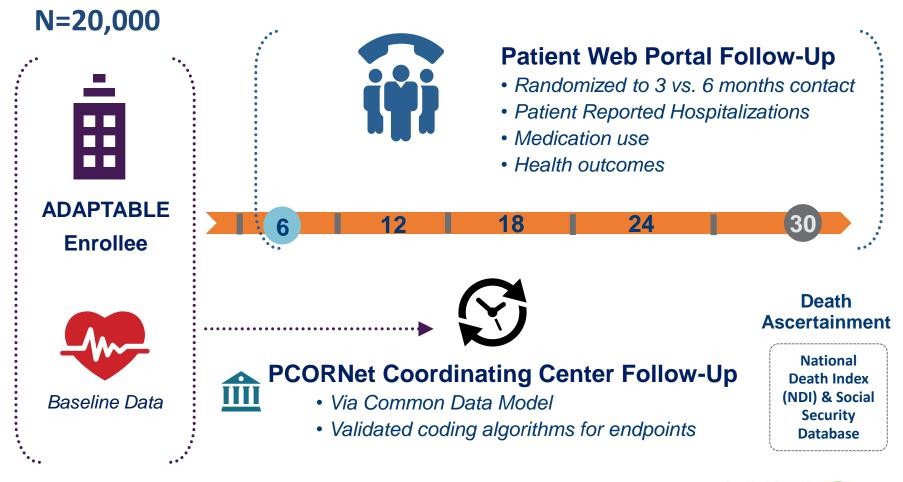
Patients contacted electronically with trial information and e-consent via web portal Treatment assignment will be provided directly to patient



Primary Endpoint: Composite of all-cause mortality, hospitalization for MI, or hospitalization for stroke Primary Safety Endpoint: Hospitalization for major bleeding



### Enabling and Testing Pragmatic Research: e-Data Collection and e-Follow-Up



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## Web-Based, Electronic Informed Consent

- Text and video review of the consent is completed on the web portal
- Simplified common consent form with selected local adaptations
- Focused questions to confirm patient comprehension for informed consent and eligibility for randomization after consent is obtained
- Direct patient feedback and user testing for the development of the consent form and process as well as the comprehension questions

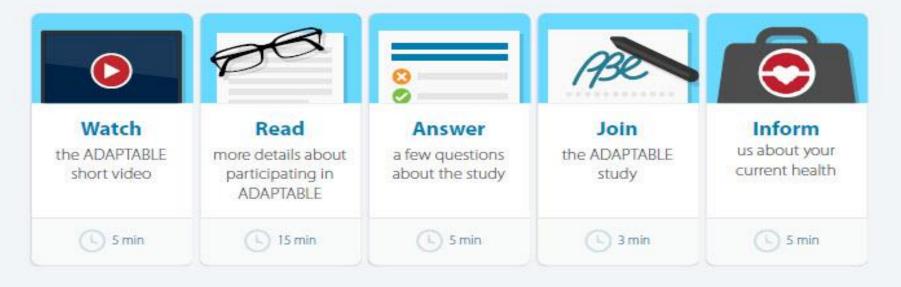


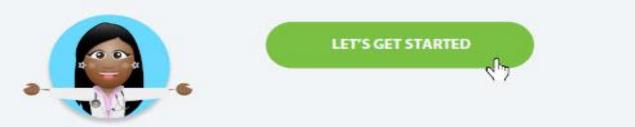


▲ TEXT SIZE (A)

#### There are 5 steps to join the study!

The time on each card is an estimate of how long it will take you to complete each section. There are no time limits, so please go at your own pace.





ClinicalTrials.gov: NCT02697916

## Disrupting the Norm Traditional Trials vs. ADAPTABLE

**Traditional** 

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I/E Criteria Reviewed	Sample vi
<b>Representative Cohort</b>	Narrow
Consent	Facilitated
Comprehension Tested	No
Format	Paper
Data Collection	Patient Re
	Site Recor
Source Documents	Only seen
Endpoint Adjudication	Yes
Patient Involvement	Participan
Costs	

via CRA Visit	CDM
	Broad
d	Patient Directed
	Yes
	e-consent
Reported	Patient Reported
orded	CDM
n by Site	Received via CDM
	CDM, EHR data
nts Only	Protocol design, Committee, Analyses, Dissemination

**ADAPTABLE** 

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## Conclusions

- Large need for randomized trials (RCT) particularly for the evaluation of strategies, devices, pharmacological therapies
- Classical (explantory) RCTs are often not performed in broad representative patient populations. They are expensive and has slow enrollment.
- All trials should strive for pragmatism
- Sweden has opportunities to lead the development of more pragmatic trials with strong track record in clinical trials, strong AROs, good collaboration, and complete national public registries
- The national clinical registries are strong networks for collaboration and enroll complete patient populations
- Prospective Registry based Randomized Clinical Trials (RRCT) is a unique opportunity for clinical research in Sweden



# Uppsala Clinical Research Center

Part of Uppsala University and Uppsala University Hospital.

#### Assessing the Level of Pragmatism in a Trial, the Pragmatic–Explanatory Continuum Indicator Summary 2 (PRECIS-

Dimension	PRECIS-2	Assessment of Pragmatism	
Recruitment of investigators and partici	pants		
Eligibility	To what extent are the participants in the trial similar to patients who would receive this intervention if it was part of usual care?		
Recruitment	How much extra effort is made to recruit participants over and above what would be used in the usual care setting to engage with patients		
Setting	How different are the settings of the trial from the usual care setting?		
The intervention and its delivery within t	he trial		
Organization		e the resources, provider expertise, and organization ery in the intervention group of the trial from those usual care?	
Flexibility in delivery		the flexibility in how the intervention is delivered from anticipated in usual care?	
Flexibility in adherence	How different is the flexibility in how participants are monitored and encouraged to adhere to the intervention from the flexibility antici pated in usual care?		
The nature of follow-up			
Follow-up		the intensity of measurement and the follow-up of in the trial from the typical follow-up in usual care?	
The nature, determination, and analysis of outcomes	:		
Primary outcome		To what extent is the primary outcome of the trial directly relevant to participants?	
Primary analysis	To what extent a outcome?	re all data included in the analysis of the primary	
		Loudon K, The PRECIS-2 tool. BMJ 2015; 350: h2	